

# VENTURA REGIONAL SANITATION DISTRICT

1001 PARTRIDGE DRIVE, SUITE 150 • VENTURA, CA 93003-0704



November 21, 2011

Board of Directors  
Ventura Regional Sanitation District  
Ventura, California

## INVESTMENT REPORT – OCTOBER 2011

The attached report shows cash and investment holdings of the District at October 31, 2011. The VRSD Investment Policy requires that the report be transmitted to the Board within 30 days after the close of the month. The portfolio totals \$38.2 million at cost, a decrease from \$38.3 million at the end of October 2011. The decrease is mainly due to the ongoing expenses for the month of October 2011.

Union Bank of California, who serves as the custodian, provides the market value shown for all U.S. Government securities. During the month of October, interest earnings for all investments were accrued in the amount of \$41,446. Fiscal year to date, \$172,126 has been earned. It should be noted that effective December 2006, the unrealized gain or loss on investments will no longer be recorded as income on a monthly basis. Recording it monthly skews the amount of interest reported since it could be at a loss one month and a gain the very next month and is not an actual gain or loss unless the government securities shown in Section D of the report are sold prior to their maturity date. It will be recorded at June 30, 2012, as required by governmental accounting standards.

The District investment holdings are in compliance with the investment policy approved by the Board of Directors on October 20, 2011. The portfolio has been managed with the stated objectives of safety, liquidity and earning a competitive rate of return. The investment program shown herein provides sufficient cash flow liquidity to meet the District's expense obligations for the next six months.

Please contact me at [vickiedragan@vrzd.com](mailto:vickiedragan@vrzd.com) or 658-4646 if you have any questions.

*Vickie Dragan*  
VICKIE DRAGAN – DIRECTOR OF FINANCE & ADMINISTRATION

Enc.

APPROVED FOR DECEMBER 1, 2011 AGENDA

*Mark Lawler*  
Mark Lawler – General Manager

**VENTURA REGIONAL SANITATION DISTRICT  
CASH & CASH EQUIVALENT AND INVESTMENT REPORT  
FOR THE MONTH ENDED OCTOBER 31, 2011**

**COMPLIANCE REPORT AND CERTIFICATIONS**

	BALANCE AT COST			INTEREST EARNED*	
	9/30/2011	CHANGE	10/31/2011	Oct-11	Fiscal YTD
A. Sweep Account	\$ 1,323,024	\$ 173,428	\$ 1,496,452	\$ -	\$ -
B. Certificate of Deposit	\$ 789,582	\$ -	\$ 789,582	\$ 156	\$ 768
C. Pooled Funds (LAIF & County Pool)	\$ 2,112,488	\$ (298,543)	\$ 1,813,946	\$ 790	\$ 2,677
D. Funds & Securities held by Custodian	\$ 34,037,611	\$ 44,282	\$ 34,081,893	\$ 40,499	\$ 168,680
<b>TOTAL</b>	<b>\$ 38,262,706</b>	<b>\$ (80,833)</b>	<b>\$ 38,181,872</b>	<b>\$ 41,446</b>	<b>\$ 172,126</b>

Investment Recap (C. & D.)	Market Totals Per Trustee	Purchase Price (G/L)	At Par	
			\$	%
Pooled Funds	\$ 1,221,223	\$ 1,221,223	\$ 1,221,223	
LAIF	\$ 592,723	\$ 592,723	\$ 592,723	
Ventura County Pool	\$ 1,813,946	\$ 1,813,946	\$ 1,813,946	5.08%
Securities:				
Money Market Funds	\$ 221,677	\$ 221,677	\$ 221,677	0.62%
U.S. T-Notes/Bonds	\$ 14,568,388	\$ 14,237,900	\$ 14,265,000	39.95%
Agencies	\$ 19,733,773	\$ 19,622,316	\$ 19,405,000	54.35%
Total Securities	\$ 34,523,838	\$ 34,081,893	\$ 33,891,677	
Total Investments	\$ 36,337,783	\$ 35,895,838	\$ 35,705,623	100.00%

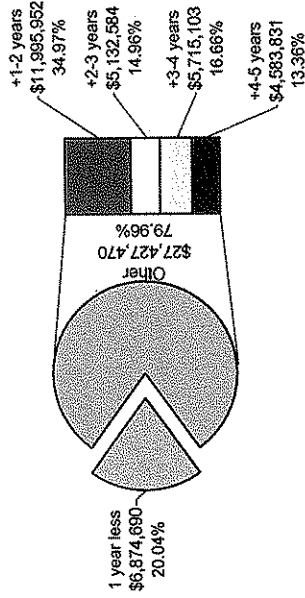
**CERTIFICATIONS**

I certify that all investment actions executed since the last report have been made in full compliance with the VRSD Investment Policy. In addition, sufficient investment liquidity and anticipated revenue are available to meet the next six (6) months' estimated expenses of the District.

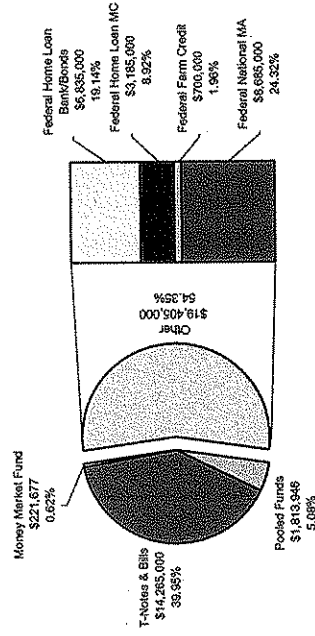
*Vickie Dragan* 11/23/11  
Date

Vickie Dragan, Director of Finance & Administration

**Investment Maturity Schedule  
U.S. T-Notes/Bonds & Agencies**



**Investment by Type  
@ PAR Value**



8-2

**VENTURA REGIONAL SANITATION DISTRICT  
CASH & CASH EQUIVALENT AND INVESTMENT REPORT  
FOR THE MONTH ENDED OCTOBER 31, 2011**

<b>A. SWEEP ACCOUNT</b>									
Balance 09/30/11	Net Deposits/ (Withdrawals)	Interest Received	Balance 10/31/11	Yield	Market Value**				
\$ 1,323,023.70	\$ 173,407.00	\$ 20.92	\$ 1,496,451.62	0.01%	\$ 1,496,451.62				

<b>B. CERTIFICATES OF DEPOSIT</b>									
Purchase Date	Rollover Date	Maturity Date	Balance 10/31/11	Yield	Market Value**				
8/5/2003	8/9/2011	8/9/2012	\$ 500,000.00	0.18%	\$ 500,000.00				
8/9/2003	8/9/2011	8/9/2012	125,950.00	0.05%	\$ 125,950.00				
4/10/2003	4/13/2011	4/15/2013	100,000.00	0.65%	\$ 100,000.00				
2/17/2009	2/17/2011	2/17/2012	63,632.47	0.40%	\$ 63,632.47				
			\$ 789,582.47		\$ 789,582.47				

<b>C. POOLED FUNDS</b>									
Balance 09/30/11	Net Deposits/ (Withdrawals)	Interest Received	Balance 10/31/11	Yield	Market Value**				
\$ 1,519,765.93	\$ (300,000.00)	\$ 1,457.11	\$ 1,221,223.04	0.39%	\$ 1,221,223.04				
592,722.51	-	-	592,722.51	0.81%	592,722.51				
\$ 2,112,488.44	\$ (300,000.00)	\$ 1,457.11	\$ 1,813,945.55		\$ 1,813,945.55				

Local Agency Investment Fund  
Ventura County Pool

8-3

**VENTURA REGIONAL SANITATION DISTRICT  
CASH & CASH EQUIVALENT AND INVESTMENT REPORT  
FOR THE MONTH ENDED OCTOBER 31, 2011**

D. FUNDS/SECURITIES HELD WITH CUSTODIAN, UNION BANK OF CALIFORNIA	Maturity Date	Interest Rate	Par Value	Purchase Price	Market Value Per Trustee**		Market Inc. or (Dec)	Balance 10/31/2011	Interest		Current Yield
					Purchases (Sales/Maturities)	Balance 9/30/2011			Fiscal Year Received Month	Fiscal Year Earned* YTD	
<b>VRSD - Main</b>											
Highmark U.S. Gov't Money Market Fund	7/13/2012	4.38%	37,875.48	\$37,875.48	\$50,469.61	(\$12,594.13)		\$37,875.48	0.14	0.82	0.00%
Federal Farm Credit Bank Bonds	10/30/2012	0.50%	1,000,000.00	\$1,000,470.00	\$309,549.00	(\$309,078.00)	\$0.00	\$0.00	3,627.60	10,190.10	4,065.10
Federal National Mortgage Association	3/31/2013	0.75%	550,000.00	\$549,291.02	\$1,002,840.00	(\$104.50)	\$1,002,980.00	2,500.00	2,500.00	2,062.50	1,666.67
US Treasury Notes	4/30/2013	0.63%	100,000.00	\$100,156.25	\$54,317.50	(\$104.50)	\$54,213.00	349.39	312.50	521.40	1,366.27
US Treasury Notes	6/28/2013	3.75%	250,000.00	\$265,892.50	\$264,860.00	(\$567.50)	\$100,621.00	549.57	312.50	259.87	259.87
Federal Home Loan Mtg Corp Notes	8/28/2013	0.50%	150,000.00	\$149,791.50	\$150,204.00	(\$22.50)	\$264,292.50	781.25	-	77.08	3,125.00
Federal Home Loan Bank Bonds	2/27/2014	1.27%	750,000.00	\$752,176.00	\$763,207.50	\$495.00	\$763,702.50	4,687.50	-	-	3,125.00
Federal Home Loan Mtg Corp Notes	5/28/2014	1.38%	100,000.00	\$99,891.00	\$102,050.00	(\$93.00)	\$101,957.00	114.59	-	-	458.34
Federal Home Loan Bank Bonds	11/30/2014	2.13%	400,000.00	\$391,890.63	\$420,624.00	(\$248.00)	\$420,376.00	132.34	-	-	2,020%
US Treasury Notes/Bonds	9/30/2015	1.25%	125,000.00	\$124,933.98	\$127,773.75	\$38.75	\$127,812.50	781.25	(648.10)	(648.10)	110.05
US Treasury Notes/Bonds	10/26/2015	1.63%	200,000.00	\$205,400.00	\$205,098.00	\$38.00	\$205,136.00	6.11	(662.50)	(662.50)	6.11
Federal National Mortgage Association	6/30/2016	1.50%	150,000.00	\$152,671.88	\$0.00	\$1,360.12	\$154,032.00	270.83	1,625.00	370.14	415.28
US Treasury Notes/Bonds	7/31/2016	1.50%	150,000.00	\$153,017.58	\$0.00	\$919.92	\$153,937.50	235.39	1,625.00	235.39	232.33
US Treasury Notes/Bonds	10/2011										
VRSD-MAIN TOTAL			\$ 3,962,875.48	\$ 3,983,556.82	\$ 4,051,628.36	\$ (15,982.67)	\$ 4,037,156.98	\$ 6,854.64	\$ 20,215.58	\$ 4,581.54	\$ 18,440.62
<b>VRSD - Bailard</b>											
Highmark U.S. Gov't Money Market Fund	7/13/2012	4.38%	60,294.75	\$60,294.75	\$29,803.89	\$30,490.86	\$60,294.75	0.14	1.07	0.01	0.00%
Federal Farm Credit Bank Bonds	8/23/2012	0.30%	300,000.00	\$294,611.10	\$309,549.00	(\$975.00)	\$306,574.00	519.13	8,366.81	985.66	4,910.52
Federal Home Loan Bank	11/15/2012	4.50%	520,000.00	\$549,698.99	\$650,117.00	(\$104.00)	\$650,013.00	-	441.58	71.08	4,250%
Federal National Mortgage Association	11/19/2012	4.75%	340,000.00	\$369,114.20	\$543,800.40	(\$1,794.00)	\$542,006.40	-	6,562.50	1,083.75	4,320%
Federal National Mortgage Association	11/23/2012	0.00%	525,000.00	\$524,784.75	\$525,063.00	(\$31.50)	\$355,939.20	-	73.83	162.50	4,540%
US Treasury Notes	3/31/2012	1.00%	575,000.00	\$578,930.87	\$1,330,843.25	(\$665.96)	\$525,031.50	-	984.38	165.84	0.300%
US Treasury Notes	6/30/2012	0.63%	135,000.00	\$135,485.70	\$135,464.40	(\$10.80)	\$135,469.60	-	-	-	1,000%
US Treasury Notes	8/31/2012	0.38%	525,000.00	\$524,200.20	\$525,966.00	\$36.75	\$525,002.75	-	366.75	131.25	0.370%
Federal Home Loan Mortgage Corp. Notes	4/15/2013	1.63%	750,000.00	\$748,950.00	\$764,430.00	(\$382.50)	\$764,047.50	6,093.75	6,093.75	1,015.63	1,600%
US Treasury Notes	4/31/2013	0.63%	650,000.00	\$651,015.62	\$653,932.50	\$104.00	\$654,036.50	2,031.25	2,240.15	342.34	0.620%
Federal Home Loan Mortgage Association	6/28/2013	3.75%	500,000.00	\$531,785.00	\$529,720.00	(\$1,135.00)	\$528,585.00	-	-	-	5,500%
Federal Home Loan Mtg Corp Notes	8/9/2013	0.50%	1,370,000.00	\$1,371,397.40	\$1,371,397.40	\$1,753.60	\$1,373,151.00	-	906.11	570.84	0.500%
Federal National Mortgage Association	8/28/2013	0.50%	475,000.00	\$474,339.75	\$475,741.00	(\$71.25)	\$475,669.75	-	244.10	197.92	0.500%
Federal Home Loan Bank Bonds	2/27/2014	1.27%	500,000.00	\$499,105.00	\$508,805.00	\$330.00	\$509,135.00	1,875.00	3,125.00	520.83	1,230%
US Treasury Notes	4/30/2014	1.88%	200,000.00	\$197,203.13	\$207,610.00	(\$32.00)	\$207,578.00	-	1,875.00	1,346.36	1,350%
Federal Home Loan Bank Bonds	5/28/2014	1.38%	1,175,000.00	\$1,173,437.25	\$1,199,087.50	(\$1,092.75)	\$1,197,994.75	-	-	-	2,020%
US Treasury Notes	11/30/2014	2.13%	750,000.00	\$734,794.92	\$788,670.00	(\$465.00)	\$788,205.00	-	3,750.00	1,349.89	2,020%
US Treasury Notes	9/30/2015	1.25%	600,000.00	\$699,203.13	\$613,314.00	\$186.00	\$613,500.00	-	3,750.00	635.25	1,220%
US Treasury Notes	10/31/2015	1.38%	700,000.00	\$695,746.10	\$714,819.00	\$217.00	\$715,036.00	4,468.75	893.75	744.79	1,220%
US Treasury Notes	11/30/2015	1.38%	350,000.00	\$347,484.38	\$359,079.00	\$0.00	\$359,079.00	4,375.00	4,375.00	4,375.00	1,340%
Federal National Mortgage Association	10/26/2015	1.63%	550,000.00	\$565,035.00	\$564,019.50	\$104.50	\$564,124.00	-	-	-	1,580%
US Treasury Notes/Bonds	6/30/2016	1.50%	250,000.00	\$254,453.13	\$0.00	\$2,266.87	\$256,720.00	(1,080.16)	(1,080.16)	(1,080.16)	1,460%
US Treasury Notes/Bonds	7/31/2016	1.50%	475,000.00	\$484,555.66	\$0.00	\$2,913.09	\$487,468.75	(1,781.25)	(1,781.25)	(1,781.25)	1,460%
VRSD-BAILLARD TOTAL			\$ 12,925,294.75	\$ 13,015,479.39	\$ 13,158,191.04	\$ (16,501.61)	\$ 13,174,824.70	\$ 16,501.61	\$ 45,780.55	\$ 15,858.80	\$ 64,984.45

See page 5 of 5 for securities sold or matured for the month ended October 31, 2011.

8-4

**VENTURA REGIONAL SANITATION DISTRICT  
CASH & CASH EQUIVALENT AND INVESTMENT REPORT  
FOR THE MONTH ENDED OCTOBER 31, 2011**

D. FUNDS/SECURITIES HELD WITH CUSTODIA UNION BANK OF CALIFORNIA	Maturity Date	Interest Rate	Par Value	Purchase Price	Market Value Per Trustee **		Balance 10/31/2011	Fiscal Year Received		Fiscal Year Earned*		Yield
					Purchases (Sales/Maturities)	Market Inc. or (Dec)		Month	YTD	Month	YTD	
<b>VRSD - Coastal</b>												
Highmark U.S. Gov't Money Market Fund			74,258.84	\$74,258.84	\$29,148.75	(\$177.47)	\$74,258.84	0.14	0.98	0.01	0.69	0.000%
US Treasury Notes	3/31/2012	1.00%	SOLD				\$0.00	258.33	1,783.33	250.00	1,016.66	0.000%
US Treasury Notes	5/31/2012	0.75%	450,000,000	\$452,390.62	(\$306,345.05)	(\$177.47)	\$0.00	313.52	989.01	345.11	1,735.97	0.750%
US Treasury Notes	6/30/2012	0.63%	415,000,000	\$416,573.04	(\$108.50)	(\$177.47)	\$0.00			218.49	866.93	0.620%
US Treasury Notes	7/13/2012	4.38%	200,000,000	\$196,407.40	(\$650.00)	(\$177.47)	\$0.00		4,375.00	729.17	2,916.67	4.350%
Federal Farm Credit Bank Bonds	8/23/2012	0.30%	450,000,000	\$449,930.07	(\$72.00)	(\$177.47)	\$0.00		52.50	112.50	307.50	0.300%
Federal Home Loan Bank	8/31/2012	0.38%	425,000,000	\$424,352.54	\$29.75	(\$177.47)	\$0.00		796.88	1,368.75	528.32	0.370%
US Treasury Notes	11/15/2012	4.50%	365,000,000	\$369,574.72	(\$1,259.25)	(\$177.47)	\$0.00			1,368.75	5,475.00	4.300%
Federal Home Loan Bank Bonds	11/19/2012	4.75%	125,000,000	\$135,703.75	(\$375.00)	(\$177.47)	\$0.00			494.80	1,979.17	4.540%
Federal National Mortgage Association	11/23/2012	0.00%	500,000,000	\$499,795.00	(\$30.00)	(\$177.47)	\$0.00			122.83	462.93	0.300%
US Treasury Notes	3/15/2013	1.38%	285,000,000	\$287,949.22	(\$254.10)	(\$177.47)	\$0.00		1,716.75	292.75	1,153.77	1.350%
Federal Home Loan Bank Bonds	6/28/2013	3.75%	485,000,000	\$513,828.40	(\$13,828.40)	(\$177.47)	\$0.00			1,515.62	6,062.50	3.550%
Federal National Mortgage Association	8/9/2013	0.50%	950,000,000	\$947,545.00	(\$49.50)	(\$177.47)	\$0.00			137.50	458.33	0.500%
Federal Home Loan Bank Bonds	8/28/2013	0.50%	350,000,000	\$329,541.30	(\$30.46)	(\$177.47)	\$0.00			260.42	1,041.67	0.500%
Federal National Mortgage Association	2/27/2014	1.27%	250,000,000	\$249,552.50	(\$50.45)	(\$177.47)	\$0.00			853.64	3,414.58	1.230%
Federal Home Loan Bank Bonds	5/28/2014	1.38%	745,000,000	\$744,009.15	(\$992.85)	(\$177.47)	\$0.00			899.93	3,570.70	1.350%
US Treasury Notes	11/30/2014	2.13%	500,000,000	\$500,000.00	\$0.00	(\$177.47)	\$0.00			395.83	1,456.34	2.020%
US Treasury Notes	9/30/2015	1.25%	350,000,000	\$357,766.50	(\$7,766.50)	(\$177.47)	\$0.00			579.14	2,269.40	1.220%
US Treasury Notes/Bonds	10/31/2015	1.25%	600,000,000	\$595,335.94	(\$46,664.06)	(\$177.47)	\$0.00			370.56	1,470.28	1.220%
US Treasury Notes	11/30/2015	1.38%	300,000,000	\$297,843.75	(\$2,156.25)	(\$177.47)	\$0.00			372.40	571.02	1.220%
US Treasury Notes	10/26/2015	1.63%	275,000,000	\$282,425.00	(\$7,425.00)	(\$177.47)	\$0.00			635.47	2,520.49	1.340%
Federal National Mortgage Association	7/31/2016	1.50%	375,000,000	\$382,543.95	(\$7,543.95)	(\$177.47)	\$0.00			15.29	1,386.27	1.580%
FY2012 Maturities, Sales, Interest & Calls Prior to 10/2011												
<b>VRSD-COASTAL TOTAL</b>			\$ 8,964,258.84	\$ 9,015,955.82	\$ 5,150.12	(\$ 710.92)	\$ 9,132,592.44	\$ 5,150.12	\$ 30,701.41	\$ 10,456.08	\$ 44,027.02	
<b>VRSD - Toland</b>												
Highmark U.S. Gov't Money Market Fund			49,248.42	\$49,248.42	(\$2,647.56)		\$49,248.42	0.15	1.07	0.31	1.07	0.000%
US Treasury Notes	10/31/2011	4.63%	SOLD/MATURED				\$0.00	7,542.02	7,542.02	961.45	4,892.70	1.000%
US Treasury Notes	3/31/2012	1.00%	660,000,000	\$664,511.72	(\$438.29)	(\$886.79)	\$0.00	42.35	3,592.35	600.00	2,384.70	1.000%
US Treasury Notes	6/30/2012	0.63%	480,000,000	\$481,821.09	(\$38.40)	(\$886.79)	\$0.00			252.72	1,002.72	0.620%
US Treasury Notes	7/13/2012	4.38%	200,000,000	\$196,407.40	(\$650.00)	(\$886.79)	\$0.00		4,375.00	729.17	2,916.67	4.250%
Federal Farm Credit Bank Bonds	8/23/2012	0.30%	375,000,000	\$374,941.73	(\$60.00)	(\$886.79)	\$0.00		43.75	93.75	256.25	0.300%
Federal Home Loan Bank	8/31/2012	4.50%	260,000,000	\$277,505.28	(\$17,505.28)	(\$886.79)	\$0.00			975.00	3,900.00	4.320%
US Treasury Notes	11/15/2012	0.00%	325,000,000	\$324,866.75	(\$19.25)	(\$886.79)	\$0.00			81.25	318.13	0.300%
Federal National Mortgage Association	4/15/2013	1.63%	200,000,000	\$198,720.00	(\$1,280.00)	(\$886.79)	\$0.00			270.83	1,063.33	1.600%
Federal Home Loan Mortgage Corp. Notes	6/28/2013	3.75%	250,000,000	\$255,892.50	(\$5,892.50)	(\$886.79)	\$0.00			520.83	1,956.95	0.500%
Federal Home Loan Bank Bonds	8/9/2013	0.50%	330,000,000	\$329,541.30	(\$4,458.70)	(\$886.79)	\$0.00			137.50	458.33	0.500%
Federal National Mortgage Association	8/28/2013	0.50%	330,000,000	\$329,541.30	(\$4,458.70)	(\$886.79)	\$0.00			260.42	1,041.67	1.230%
Federal Home Loan Bank Bonds	8/28/2013	0.50%	250,000,000	\$249,552.50	(\$50.45)	(\$886.79)	\$0.00			237.01	940.00	1.810%
Federal National Mortgage Association	2/27/2014	1.27%	250,000,000	\$249,552.50	(\$50.45)	(\$886.79)	\$0.00			1,042.71	4,170.83	1.350%
Federal Home Loan Bank Bonds	4/30/2014	1.88%	150,000,000	\$147,902.34	(\$2,097.66)	(\$886.79)	\$0.00			899.93	3,570.70	2.020%
US Treasury Notes	5/28/2014	1.38%	910,000,000	\$908,789.70	(\$1,210.30)	(\$886.79)	\$0.00			476.43	1,890.37	1.220%
Federal Home Loan Bank Bonds	11/30/2014	2.13%	500,000,000	\$469,863.28	(\$30,136.72)	(\$886.79)	\$0.00			779.50	3,120.00	2.200%
US Treasury Notes/Bonds	9/30/2015	1.25%	450,000,000	\$449,402.34	(\$5,597.66)	(\$886.79)	\$0.00			372.40	571.02	1.220%
US Treasury Notes	10/31/2015	1.25%	525,000,000	\$521,809.57	(\$3,190.43)	(\$886.79)	\$0.00			555.84	2,205.43	1.340%
US Treasury Notes	11/30/2015	1.38%	200,000,000	\$198,562.50	(\$1,437.50)	(\$886.79)	\$0.00			232.92	924.18	1.460%
US Treasury Notes/Bonds	6/30/2016	1.50%	150,000,000	\$152,671.88	(\$2,671.88)	(\$886.79)	\$0.00			110.05	1,100.00	1.460%
US Treasury Notes/Bonds	7/31/2016	1.50%	250,000,000	\$255,029.30	(\$5,029.30)	(\$886.79)	\$0.00			10.19	110.05	1.580%
Federal National Mortgage Association	10/29/2015	1.63%	275,000,000	\$282,425.00	(\$7,425.00)	(\$886.79)	\$0.00			10.19	110.05	1.580%
FY2012 Maturities, Sales, Interest & Calls Prior to 10/2011												
<b>VRSD-TOLAND TOTAL</b>			\$8,039,248.42	\$8,067,100.60	\$14,545.80	(\$143.54)	\$8,179,263.82	\$14,545.80	\$36,765.47	\$9,601.96	\$41,348.24	
<b>Total Held by Custodian</b>			\$ 33,891,677.49	\$ 34,081,892.63	\$ 20,214.96	\$ 1,075.96	\$ 34,523,837.94	\$ 43,052.17	\$ 133,463.01	\$ 40,499.38	\$ 168,660.93	

See page 5 of 5 for securities sold or matured for the month ended October 31, 2011.

8-5

\* Interest is estimated.  
\*\* U.S. Security market values are provided by Union Bank of California. For all other funds, market value is reported at purchase price.

VENTURA REGIONAL SANITATION DISTRICT  
SECURITIES SOLD AND MATURED AS OF OCTOBER 31, 2011

Description	CUSIP	Purchase Date	Maturity Date	Interest Rate	Settle	Par	Purchase Cost	Principal Proceeds	Realized G/L Cost this FY	Realized G/L Amortized Cost (Life of the Security)	
<b>MAIN:</b>											
S Federal Farm Credit Bank Bonds	31331SP56	7/13/2005	7/13/2012	4.375%	10/14/2011	\$150,000.00	\$147,305.55	\$154,669.50	\$7,363.95	\$5,128.47	
S Federal Farm Credit Bank Bonds	31331SP56	7/13/2005	7/13/2012	4.375%	10/31/2011	\$150,000.00	\$147,305.55	\$154,408.50	\$7,102.95	\$4,838.93	
SUB-TOTAL							\$300,000.00	\$294,611.10	\$309,078.00	\$14,466.90	\$9,967.40
<b>BAILARD:</b>											
S US Treasury Notes/Bonds	912828MU1	3/31/2010	3/31/2012	1.000%	10/14/2011	\$250,000.00	\$251,708.98	\$251,083.98	(\$625.00)	\$389.18	
S US Treasury Notes/Bonds	912828MU1	3/31/2010	3/31/2012	1.000%	10/31/2011	\$500,000.00	\$503,417.97	\$501,914.06	(\$1,503.91)	\$864.25	
SUB-TOTAL							\$750,000.00	\$755,126.95	\$752,998.04	(\$2,128.91)	\$1,053.43
<b>COASTAL</b>											
S US Treasury Notes/Bonds	912828MU1	3/31/2010	3/31/2012	1.000%	10/31/2011	\$305,000.00	\$307,084.96	\$306,167.58	(\$917.38)	\$405.20	
S US Treasury Notes/Bonds	912828NE6	5/31/2010	5/31/2012	0.750%	10/31/2011	\$100,000.00	\$100,531.25	\$100,375.00	(\$156.25)	\$74.76	
SUB-TOTAL							\$405,000.00	\$407,616.21	\$406,542.58	(\$1,073.63)	\$479.96
<b>TOLAND:</b>											
M US Treasury Notes/Bonds	912828FW5	10/31/2006	10/31/2011	4.625%	10/31/2011	\$190,000.00	\$203,567.19	\$190,000.00	(\$13,567.19)	\$0.00	
S US Treasury Notes/Bonds	912828FW5	10/31/2006	10/14/2011	4.625%	10/14/2011	\$150,000.00	\$160,710.94	\$150,316.41	(\$10,394.53)	\$149.00	
S US Treasury Notes/Bonds	912828MU1	3/31/2010	3/31/2012	1.000%	10/31/2011	\$50,000.00	\$50,341.80	\$50,191.41	(\$150.39)	\$66.43	
SUB-TOTAL							\$390,000.00	\$414,619.93	\$390,507.82	(\$24,112.11)	\$215.43
<b>TOTAL SECURITIES SOLD &amp; MATURED</b>							<b>\$1,845,000.00</b>	<b>\$1,871,974.19</b>	<b>\$1,859,126.44</b>	<b>(\$12,847.76)</b>	<b>\$11,716.22</b>

M = Matured  
S = Sold

Notes: Securities Sold and Matured are provided by PFM Investment Group & Union Bank of California.

8-6