



May 20, 2021

Board of Directors
Ventura Regional Sanitation District
Ventura, California

INVESTMENT REPORT – APRIL 30, 2021

RECOMMENDATION

Receive and file the April 30, 2021 Investment Report

FISCAL IMPACT

None.

BACKGROUND/ANALYSIS

The attached report shows cash and investment holdings of the District at April 30, 2021. The VRSD Investment Policy requires that the report be transmitted to the Board within 30 to 60 days after the close of the month. The portfolio totals \$41.90 million at cost, increasing by \$0.70 million from \$41.20 million at the end of March 2021.

US Bank, who serves as the custodian, provides the market value shown for all U.S. Government securities. During the month of April, interest earnings for all investments were accrued in the amount of \$46,223. Fiscal year to date, \$540,185 has been earned. The unrealized gain or loss on investments is not recorded as income on a monthly basis. Recording it monthly skews the amount of interest reported since it could be at a loss one month and a gain the very next month and is not an actual gain or loss unless the government securities shown in Section D of the report are sold prior to their maturity date. It will be recorded at June 30, 2021, as required by governmental accounting standards.

The District investment holdings are in compliance with the investment policy approved by the Board of Directors in December 2020. The portfolio has been managed with the stated objectives of safety, liquidity and earning a competitive rate of return. The investment program shown herein provides sufficient cash flow liquidity to meet the District's expense obligations for the next six months.

If you have any questions or need additional information, please contact me by phone at (805) 658-4646 or via email at TinaRivera@vrsd.com.

Alvertina Rivera

ALVERTINA RIVERA, DIRECTOR OF FINANCE

APPROVED FOR AGENDA:

Chris Theisen

Chris Theisen, General Manager

Attachments: 1. VRSD April Investment Report

**VENTURA REGIONAL SANITATION DISTRICT
CASH & CASH EQUIVALENT AND INVESTMENT REPORT
FOR THE MONTH ENDED APRIL 30, 2021**

COMPLIANCE REPORT AND CERTIFICATIONS

| | BALANCE AT COST | | INTEREST EARNED* | |
|---|-----------------|------------|------------------|-------------------|
| | 3/31/2021 | CHANGE | 4/30/2021 | Apr-21 Fiscal YTD |
| A. Sweep Account | \$ 1,654,278 | \$ 625,773 | \$ 2,280,051 | \$ - |
| B. Certificate of Deposit | \$ 735,153 | \$ - | \$ 735,153 | \$ 655 |
| C. Pooled Funds (LAIF & County Pool) | \$ 2,136,755 | \$ 2,298 | \$ 2,139,053 | \$ - |
| D. Funds & Securities held by Custodian | \$ 36,681,288 | \$ 48,930 | \$ 36,730,217 | \$ 533,543 |
| TOTAL | \$ 41,207,473 | \$ 677,000 | \$ 41,884,474 | \$ 46,223 |

*Interest Earned is based on estimates.

| Investment Recap (C. & D.) | Market | | At Par | | % |
|----------------------------|--------------------|----------------------|---------------|--|---------|
| | Totals Per Trustee | Purchase Price (G/L) | \$ | | |
| Pooled Funds | \$ 2,079,047 | \$ 2,079,047 | \$ 2,079,047 | | |
| LAIF | \$ 60,005 | \$ 60,005 | \$ 60,005 | | |
| Ventura County Pool | \$ 2,139,053 | \$ 2,139,053 | \$ 2,139,053 | | 5.54% |
| Securities: | | | | | |
| Money Market Funds | \$ 4,471,495 | \$ 4,471,495 | \$ 4,471,495 | | 11.59% |
| U.S. T-Notes/Bonds | \$ 13,885,389 | \$ 13,658,193 | \$ 13,535,000 | | 35.08% |
| Municipal Bonds | \$ 1,710,657 | \$ 1,664,597 | \$ 1,660,000 | | 4.30% |
| Corporate Bonds/Notes | \$ 4,335,175 | \$ 4,232,416 | \$ 4,195,000 | | 10.87% |
| CD Negotiable | \$ 1,553,761 | \$ 1,525,000 | \$ 1,525,000 | | 3.95% |
| Corporate Note | \$ 202,836 | \$ 203,769 | \$ 200,000 | | 0.52% |
| Asset-Backed Security | \$ 1,358,969 | \$ 1,336,918 | \$ 1,337,178 | | 3.47% |
| Supranationals | \$ 473,828 | \$ 478,583 | \$ 455,000 | | 1.18% |
| Federal Agencies | \$ 9,246,073 | \$ 9,159,247 | \$ 9,070,566 | | 23.51% |
| Total Securities | \$ 37,238,184 | \$ 36,730,217 | \$ 36,449,240 | | |
| Total Investments | \$ 39,377,237 | \$ 38,869,270 | \$ 38,588,292 | | 100.00% |

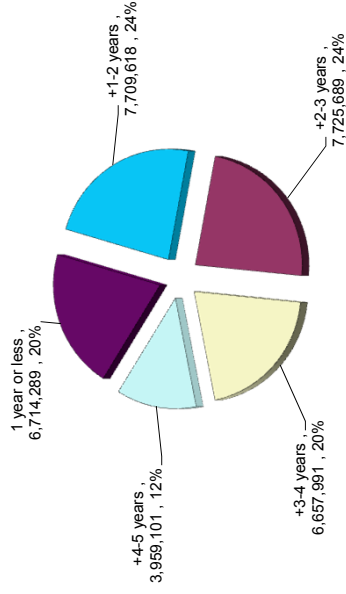
CERTIFICATIONS

I certify that all investment actions executed since the last report have been made in full compliance with the VRSD Investment Policy. In addition, sufficient investment liquidity and anticipated revenue are available to meet the next six (6) months' estimated expenses of the District.

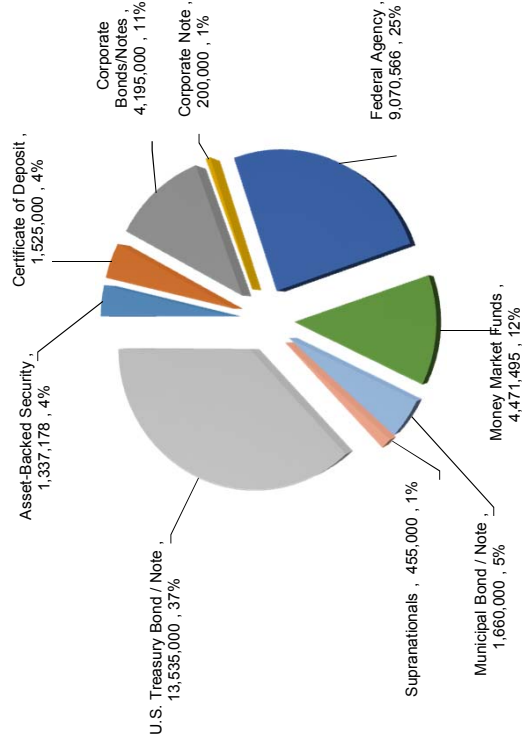
Alvertina Rivera, Director of Finance

Date

Investment Maturity Schedule
U.S. T-Notes/Bonds & Agencies



Investment by Type
@ PAR Value



**VENTURA REGIONAL SANITATION DISTRICT
CASH & CASH EQUIVALENT AND INVESTMENT REPORT
FOR THE MONTH ENDED APRIL 30, 2021**

Item # 9

| A. SWEEP ACCOUNT | | 3/31/2021 | Net Deposits/ (Withdrawals) | Interest Received | 4/30/2021 | Yield | Market Value** |
|-----------------------------------|----|------------------|--|------------------------------|------------------|--------------|-----------------------|
| City National Bank | \$ | 1,654,278.06 | \$ 625,771.86 | \$ 1.01 | \$ 2,280,050.93 | 0.01% | \$ 2,280,050.93 |
| B. CERTIFICATES OF DEPOSIT | | | | | | | |
| Rabobank | | 4/1/2019 | NA | 4/1/2022 | \$ 507,001.80 | 1.10% | \$ 507,001.80 |
| Rabobank | | 4/1/2019 | NA | 4/1/2022 | 126,750.45 | 1.10% | 126,750.45 |
| Rabobank | | 4/1/2019 | NA | 4/1/2022 | 101,400.36 | 1.10% | 101,400.36 |
| | | | | | \$ 735,152.61 | | \$ 735,152.61 |
| C. POOLED FUNDS | | | | | | | |
| Local Agency Investment Fund | \$ | 2,076,778.36 | \$ - | \$ 2,268.86 | \$ 2,079,047.22 | 0.34% | \$ 2,079,047.22 |
| Ventura County Pool | | 59,976.45 | - | 28.91 | 60,005.36 | 0.38% | 60,005.36 |
| | \$ | 2,136,754.81 | \$ - | \$ 2,297.77 | \$ 2,139,052.58 | | \$ 2,139,052.58 |

* Published rate does not represent net yield rate earned on District investment.

**Market Value is based on estimates.

**VENTURA REGIONAL SANITATION DISTRICT
CASH & CASH EQUIVALENT AND INVESTMENT REPORT
FOR THE MONTH ENDED APRIL 30, 2021**

| CUSIP | Asset Name | Asset Type | Shares/Units | Cost Basis | Market Value | | | | Annual Yield |
|------------|---|------------------------|--------------|--------------|-----------------------------|---------------------------|------------------|--------------------------|--------------|
| | | | | | Beginning Balance 3/31/2021 | Purchase/Sale or Maturity | Market Inc/(Dec) | Ending Balance 4/30/2021 | |
| CAMP POOL | FEDERATED GOVERNMENT OBLIGATIONS | Money Market Funds | 4,130,789.47 | 4,130,789.47 | - | 204,053.72 | 4,130,789.47 | NA | |
| 13063DGA0 | CALIFORNIA ST | Municipal Bond / | MATURED | | (140,000.00) | - | - | 04/01/21 | |
| 02004VAC7 | ALLY AUTO RECEIVABLES TRUST | Asset-Backed | 6,035.41 | 6,034.31 | (1,876.95) | (12.99) | 6,060.16 | 11/15/22 | |
| 02007JAC1 | ALLY AUTO RECEIVABLES TRUST | Asset-Backed | 24,244.80 | 24,243.14 | (5,824.05) | (60.61) | 24,376.93 | 01/17/23 | |
| 040654XL4 | ARIZONA ST TRANSPRTN BRD HIGHWAY | Municipal Bond / | 120,000.00 | 120,000.00 | - | 21.60 | 124,906.80 | 07/01/24 | |
| 05531FAX1 | BB T CORPORATION | Corporate | 120,000.00 | 120,906.00 | - | (17.60) | 122,538.00 | 04/01/22 | |
| 13063DDF2 | CALIFORNIA ST VARIOUS PURPOSE | Municipal Bond / | 105,000.00 | 106,462.65 | - | (81.90) | 108,455.55 | 10/01/22 | |
| 14041NFU0 | CAPITAL ONE MULTI ASSET | Asset-Backed | 275,000.00 | 274,930.76 | (7,087.16) | (129.27) | 280,381.75 | 08/15/23 | |
| 14313FAD1 | CARMAX AUTO OWNER TRUST | Asset-Backed | 53,731.34 | 53,724.02 | 61,618.87 | 15.60 | 54,402.44 | 06/15/23 | |
| 14316NAC3 | CARMAX AUTO OWNER TR | Asset-Backed | 15,000.00 | 14,997.04 | 14,961.45 | 15.60 | 14,977.05 | 12/15/25 | |
| 14913Q2V0 | CATERPILLAR FINL SERVICE | Corporate | 80,000.00 | 81,880.00 | - | 187.20 | 85,563.20 | 05/17/24 | |
| 24422E2V6 | JOHN DEERE CAPITAL CORP | Corporate | 75,000.00 | 74,946.75 | - | 45.00 | 75,007.50 | 01/17/24 | |
| 254683CM5 | DISCOVER CARD EXECUTION NOTE | Asset-Backed | 70,000.00 | 69,984.96 | - | (27.30) | 71,658.30 | 10/15/24 | |
| 25468PCW4 | WALT DISNEY COMPANY | Corporate | 110,000.00 | 106,467.90 | - | (113.30) | 113,491.40 | 12/01/22 | |
| 3130AFW94 | FEDERAL HOME LOAN BKS | Federal Agency | 230,000.00 | 229,185.80 | - | (126.50) | 244,430.20 | 02/13/24 | |
| 3134GV767 | F H L M C M T N | Federal Agency | 135,000.00 | 135,000.00 | - | (33.75) | 135,064.80 | 06/16/23 | |
| 3135G05G4 | F H L M C | Federal Agency | 115,000.00 | 114,752.75 | - | 4.60 | 115,087.40 | 07/10/23 | |
| 3135G05R0 | F N M A | Federal Agency | 125,000.00 | 124,775.00 | - | 1.25 | 125,023.75 | 08/10/23 | |
| 3135G06H1 | F N M A | Federal Agency | 100,000.00 | 99,886.00 | - | 84.00 | 99,963.00 | 11/27/23 | |
| 3135G0X24 | F N M A | Federal Agency | 174,441.75 | 174,441.75 | - | 171.50 | 181,884.50 | 01/07/25 | |
| 3136AEGQ4 | F N M A G T D R E M I C P A S S T H R U | Federal Agency | 37,217.95 | 37,705.55 | (1,113.36) | (53.39) | 38,156.96 | 12/27/22 | |
| 3136B1XP4 | F N M A G T D R E M I C P A S S T H R U | Federal Agency | 5,969.31 | 6,088.04 | (5,299.71) | (38.42) | 5,990.62 | 09/25/21 | |
| 3137B4GX8 | F H L M C M U L T I C L A S S M T G P A R T N | Federal Agency | 19,936.48 | 19,983.99 | (846.58) | (41.67) | 20,418.34 | 02/25/23 | |
| 3137B5JL8 | F H L M C M U L T I C L A S S M T G P A R T N | Federal Agency | 22,578.61 | 22,463.06 | (1,006.95) | (41.30) | 23,028.60 | 02/25/23 | |
| 3137BM6P6 | F H L M C M U L T I C L A S S M T G P A R T N | Federal Agency | 89,338.62 | 90,099.40 | (142.71) | (30.58) | 91,680.19 | 08/25/22 | |
| 3137EAP0 | F H L M C | Federal Agency | 175,000.00 | 174,865.25 | - | 250.25 | 181,091.75 | 02/12/25 | |
| 3137EAEW5 | F H L M C | Federal Agency | 179,979.05 | 179,956.80 | - | 75.60 | 180,032.40 | 09/08/23 | |
| 3137EAF2 | F H L M C M T N | Federal Agency | 45,000.00 | 44,955.45 | - | 45.45 | 44,976.15 | 12/04/23 | |
| 3137FKK39 | F H L M C M U L T I C L A S S M T G P A R T N | Federal Agency | 8,173.48 | 8,173.46 | (11.27) | (12.01) | 8,332.45 | 07/25/23 | |
| 31680YAD9 | FIFTH THIRD AUTO TRUST | Asset-Backed | 33,000.04 | 32,992.79 | (3,436.37) | (69.45) | 33,464.02 | 12/15/23 | |
| 36255JAD6 | GM FINANCIAL SECURITIZED TERM | Asset-Backed | 22,022.68 | 22,017.55 | (2,859.65) | (53.10) | 22,245.77 | 05/16/23 | |
| 36256XAD4 | GM FINANCIAL SECURITIZED TERM | Asset-Backed | 54,787.36 | 54,781.29 | (5,804.02) | (132.08) | 55,479.32 | 11/16/23 | |
| 38141GXS8 | GOLDMAN SACHS GROUP INC | Corporate | 95,000.00 | 95,193.80 | - | 809.40 | 94,150.70 | 02/12/26 | |
| 4581XDDK1 | INTER AMERICAN DEVEL BK | Supranationals | 225,000.00 | 236,783.50 | - | 335.25 | 234,310.50 | 03/14/25 | |
| 55379WZU3 | JPMORGAN CHASE CO | Corporate | 160,000.00 | 160,000.00 | - | (166.40) | 164,172.80 | 04/01/23 | |
| 587772RAD6 | MUFG BANK LTD NY BRA | Certificate of Deposit | 150,000.00 | 150,000.00 | - | (316.50) | 153,681.00 | 02/25/22 | |
| 61746BDQ6 | MERCEDES BENZ AUTO RECEIVABLES | Asset-Backed | 28,342.41 | 28,341.32 | (5,353.37) | (71.44) | 28,554.69 | 01/17/23 | |
| 65478NAD7 | MORGAN STANLEY | Corporate | 125,000.00 | 132,316.25 | (6,008.71) | (46.25) | 136,435.00 | 04/29/24 | |
| 65558TL7 | NISSAN AUTO RECEIVABLES | Asset-Backed | 52,277.21 | 52,267.20 | - | (127.00) | 53,054.05 | 06/15/23 | |
| 69371RQ66 | NORDEA BK ABP NY | Certificate of Deposit | 100,000.00 | 100,000.00 | - | 227.40 | 102,324.00 | 08/26/22 | |
| 713448EY0 | PACCAR FINANCIAL CORP | Corporate Note | 30,000.00 | 31,353.30 | - | 10.50 | 30,900.30 | 02/06/25 | |
| 713448FB9 | PEPSICO INC | Corporate | 30,000.00 | 29,940.60 | - | 29.75 | 30,297.90 | 05/01/23 | |
| 797272QN4 | SAN DIEGO CA CMNTY CLG DIST REF SER | Municipal Bond / | 35,000.00 | 35,000.00 | - | (23.10) | 35,086.80 | 10/07/23 | |
| 808513AW5 | CHARLES SCHWAB CORP | Corporate | 70,000.00 | 69,997.90 | - | (109.90) | 70,035.00 | 08/01/23 | |
| 83050PDR7 | SKANDIN ENS BANKEN | Certificate of Deposit | 100,000.00 | 100,000.00 | - | (137.40) | 102,347.00 | 08/26/22 | |
| 83369XD19 | SOCIETE GENERALE NY | Certificate of Deposit | 120,000.00 | 120,000.00 | - | (124.00) | 121,681.20 | 02/14/22 | |
| 865650KU2 | SUMITOMO MTSU BKG CO | Certificate of Deposit | 80,000.00 | 80,000.00 | - | 9.60 | 80,048.00 | 07/08/22 | |
| 874857KJ3 | TAMALPAIS CA UNION HIGH SCH DIST | Municipal Bond / | 85,000.00 | 85,000.00 | - | (98.60) | 88,142.45 | 08/01/23 | |
| 89239JAD6 | TOYOTA AUTO RECEIVABLES | Asset-Backed | 52,577.93 | 52,569.54 | (5,077.52) | (128.71) | 53,271.96 | 08/15/23 | |
| 904764BF3 | UNILEVER CAPITAL CORP | Corporate | 100,000.00 | 99,516.00 | - | (222.00) | 102,337.00 | 03/07/22 | |
| 91159HHX1 | US BANCORP | Corporate | 100,000.00 | 99,911.00 | - | 357.00 | 105,735.00 | 07/30/24 | |
| 9128282P4 | U S TREASURY NOTE | U.S. Treasury Bond / | 65,000.00 | 64,035.16 | - | (70.85) | 66,447.55 | 07/31/22 | |

**VENTURA REGIONAL SANITATION DISTRICT
CASH & CASH EQUIVALENT AND INVESTMENT REPORT
FOR THE MONTH ENDED APRIL 30, 2021**

| CUSIP | Asset Name | Asset Type | Shares/Units | Cost Basis | Market Value | | | Annual Yield |
|--|-------------------------------------|----------------------|--------------|-------------------------|-----------------------------|---------------------------|--------------------------|--------------|
| | | | | | Beginning Balance 3/31/2021 | Purchase/Sale or Maturity | Ending Balance 4/30/2021 | |
| 9128282Y5 | U S TREASURY NOTE | U.S. Treasury Bond / | 170,000.00 | 173,492.97 | - | 113.90 | 179,649.20 | 2.01% |
| 9128283V0 | U S TREASURY NOTE | U.S. Treasury Bond / | 235,000.00 | 257,029.89 | - | 284.35 | 252,211.40 | 2.33% |
| 9128284R8 | U S TREASURY NOTE | U.S. Treasury Bond / | 245,000.00 | 272,572.07 | - | 490.00 | 267,299.90 | 2.64% |
| 9128285L0 | U S TREASURY NOTE | U.S. Treasury Bond / | 190,000.00 | 194,798.44 | - | (414.20) | 192,880.40 | 2.83% |
| 9128285Z9 | U S TREASURY NOTE | U.S. Treasury Bond / | 60,000.00 | 63,857.81 | - | (51.60) | 63,630.60 | 2.36% |
| 9128287D2 | U S TREASURY NOTE | U.S. Treasury Bond / | 65,000.00 | 65,523.25 | - | (109.85) | 65,413.40 | 1.99% |
| 912828G53 | U S TREASURY NOTE | U.S. Treasury Bond / | 275,000.00 | 280,113.28 | - | (407.00) | 277,923.25 | 1.86% |
| 912828N30 | U S TREASURY NOTE | U.S. Treasury Bond / | 390,000.00 | 390,888.28 | - | (549.90) | 402,827.10 | 2.06% |
| 912828P38 | U S TREASURY NOTE | U.S. Treasury Bond / | 325,000.00 | 310,554.68 | - | (367.25) | 334,064.25 | 1.70% |
| 912828Q29 | U S TREASURY NOTE | U.S. Treasury Bond / | 200,000.00 | 188,000.00 | - | (186.00) | 205,110.00 | 1.46% |
| 912828T26 | U S TREASURY NOTE | U.S. Treasury Bond / | 310,000.00 | 305,780.86 | - | (241.80) | 318,512.60 | 1.34% |
| 912828T67 | U S TREASURY NOTE | U.S. Treasury Bond / | 200,000.00 | 196,859.37 | - | (202.00) | 201,188.00 | 1.24% |
| 912828U81 | U S TREASURY NOTE | U.S. Treasury Bond / | 185,000.00 | 187,247.47 | - | (297.85) | 187,362.45 | 1.97% |
| 912828V72 | U S TREASURY NOTE | U.S. Treasury Bond / | 25,000.00 | 24,984.38 | - | (35.25) | 25,339.75 | 1.85% |
| 912828Y87 | U S TREASURY NOTE | U.S. Treasury Bond / | 200,000.00 | 200,695.31 | - | 148.00 | 208,718.00 | 1.68% |
| 912828Y88 | U S TREASURY NOTE | U.S. Treasury Bond / | 285,000.00 | 284,183.01 | - | (367.65) | 286,348.05 | 1.49% |
| 91282CAC5 | U S TREASURY NOTE | U.S. Treasury Bond / | 425,000.00 | 424,755.86 | - | 114.75 | 425,165.75 | 0.12% |
| 923040GU7 | VENTURA CNTY CA CMNTY CLG DIST TXBL | Municipal Bond / | 120,000.00 | 120,000.00 | - | 63.60 | 123,960.00 | 1.74% |
| 977123X60 | WISCONSIN ST TRANSN REV TAXABLE REF | Municipal Bond / | 90,000.00 | 90,000.00 | - | 99.90 | 90,242.10 | 0.62% |
| VENTURA REGIONAL SANITATION DISTRICT-MAIN Total | | | | \$ 12,645,727.98 | \$ (191,748.38) | \$ 201,632.32 | \$ 12,816,091.52 | |
| CAMP POOL | FEDERATED GOVERNMENT OBLIGATIONS | Money Market Funds | 171,837.72 | 171,837.72 | - | (101,879.14) | 171,837.72 | NA |
| 912828Q78 | U S TREASURY NOTE | U.S. Treasury Bond / | MATURED | MATURED | (75,000.00) | (78.75) | - | 1.37% |
| 13063DGA0 | CALIFORNIA ST | Municipal Bond / | MATURED | MATURED | (150,000.00) | - | - | 2.80% |
| 025816CC1 | AMERICAN EXPRESS CO | Corporate | 155,000.00 | 161,212.40 | - | 652.55 | 167,386.05 | 3.15% |
| 02665WBG5 | AMERICAN HONDA FINANCE | Corporate | 100,000.00 | 99,317.00 | - | (121.00) | 100,508.00 | 1.69% |
| 02665WCP4 | AMERICAN HONDA FINANCE | Corporate | 130,000.00 | 129,937.60 | - | (314.60) | 132,455.70 | 3.31% |
| 03783DDT4 | APPLE INC | Corporate | 100,000.00 | 100,210.00 | - | 546.00 | 101,160.00 | 1.11% |
| 040654XU4 | ARIZONA ST TRANSPRTN BRD HIGHWAY | Municipal Bond / | 100,000.00 | 100,000.00 | - | 18.00 | 104,089.00 | 1.88% |
| 05531FAX1 | BB T CORPORATION | Corporate | 200,000.00 | 201,510.00 | - | (292.00) | 204,230.00 | 2.69% |
| 13063DDE2 | CALIFORNIA ST VARIOUS PURPOSE | Municipal Bond / | 130,000.00 | 131,810.90 | - | (101.40) | 134,278.30 | 2.42% |
| 1431SEAC4 | CARMAX AUTO OWNER TRUST | Asset-Backed | 34,546.15 | 34,545.83 | (3,472.24) | (108.81) | 35,159.69 | 3.30% |
| 14316NAC3 | CARMAX AUTO OWNER TR | Asset-Backed | 15,000.00 | 14,997.04 | - | 15.60 | 14,977.05 | 0.34% |
| 14913Q2V0 | CATERPILLAR FINL SERVICE | Corporate | 125,000.00 | 127,937.50 | - | 292.50 | 133,692.50 | 2.66% |
| 24422EVN6 | JOHN DEERE CAPITAL CORP | Corporate | 60,000.00 | 59,957.40 | - | 36.00 | 60,006.00 | 0.45% |
| 254683CM5 | DISCOVER CARD EXECUTION NOTE | Asset-Backed | 95,000.00 | 94,979.59 | - | (37.05) | 97,250.55 | 1.85% |
| 25468PCW4 | WALT DISNEY COMPANY | Corporate | 85,000.00 | 82,270.65 | - | (87.55) | 87,697.90 | 2.28% |
| 3130AFW94 | FEDERAL HOME LOAN BKS | Federal Agency | 180,000.00 | 179,362.80 | - | (99.00) | 191,293.20 | 2.35% |
| 3130AJTE3 | FEDERAL HOME LOAN BKS | Federal Agency | 135,000.00 | 134,751.60 | - | (141.75) | 137,887.65 | 1.35% |
| 3130AJHU6 | FEDERAL HOME LOAN BKS | Federal Agency | 100,000.00 | 99,504.00 | - | 365.00 | 99,540.00 | 0.50% |
| 3130AJPU7 | FEDERAL HOME LOAN BKS | Federal Agency | 135,000.00 | 134,981.10 | - | 9.45 | 135,214.65 | 0.25% |
| 3130AK5E2 | FEDERAL HOME LOAN BKS | Federal Agency | 30,000.00 | 29,910.00 | - | 130.50 | 29,565.60 | 0.38% |
| 313382AX1 | FEDERAL HOME LOAN BKS | Federal Agency | 95,000.00 | 99,283.55 | - | (189.05) | 98,392.45 | 2.05% |
| 313383YJ4 | FEDERAL HOME LOAN BKS | Federal Agency | 150,000.00 | 152,609.40 | - | (481.50) | 160,848.00 | 3.15% |
| 3133ELYR9 | FEDERAL FARM CREDIT BKS | Federal Agency | 75,000.00 | 74,904.75 | - | 43.50 | 75,135.75 | 0.25% |
| 3133XBL34 | FEDERAL HOME LOAN BKS | Federal Agency | 200,000.00 | 241,480.00 | - | (516.00) | 232,938.00 | 4.61% |
| 3134GVJ66 | F H L M C M T N | Federal Agency | 110,000.00 | 109,947.20 | - | 23.10 | 110,192.50 | 0.25% |
| 3134GVR67 | F H L M C M T N | Federal Agency | 185,000.00 | 185,000.00 | - | (46.25) | 185,088.80 | 0.50% |
| 3135G03U5 | F N M A DEB | Federal Agency | 105,000.00 | 104,783.70 | - | 217.35 | 104,912.85 | 0.63% |
| 3135G04Q3 | F N M A | Federal Agency | 200,000.00 | 199,398.00 | - | 20.00 | 200,264.00 | 0.25% |
| 3135G04Z3 | F N M A | Federal Agency | 140,000.00 | 139,710.20 | - | 312.20 | 138,915.00 | 0.50% |
| 3135G05G4 | F N M A | Federal Agency | 130,000.00 | 129,720.50 | - | 5.20 | 130,098.80 | 0.25% |
| 3135G05R0 | F N M A | Federal Agency | 135,000.00 | 134,757.00 | - | 1.35 | 135,025.65 | 0.30% |

**VENTURA REGIONAL SANITATION DISTRICT
CASH & CASH EQUIVALENT AND INVESTMENT REPORT
FOR THE MONTH ENDED APRIL 30, 2021**

| CUSIP | Asset Name | Asset Type | Shares/Units | Cost Basis | Beginning Balance 3/31/2021 | Market Value | | | Maturity Date | Annual Yield |
|-----------|-------------------------------------|------------------------|--------------|------------|-----------------------------|---------------------------|------------------|--------------------------|---------------|--------------|
| | | | | | | Purchase/Sale or Maturity | Market Inc/(Dec) | Ending Balance 4/30/2021 | | |
| 3135G05X7 | F N M A | Federal Agency | 190,000.00 | 189,110.80 | 186,500.20 | - | 917.70 | 187,417.90 | 08/25/25 | 0.38% |
| 3135G06G3 | F N M A | Federal Agency | 125,000.00 | 124,552.50 | 123,043.75 | - | 495.00 | 123,538.75 | 11/07/25 | 0.51% |
| 3135G06H1 | F N M A | Federal Agency | 115,000.00 | 114,868.90 | 114,860.85 | - | 96.60 | 114,957.45 | 11/27/23 | 0.25% |
| 3135G0V43 | F N M A | Federal Agency | 105,000.00 | 109,289.25 | 111,722.10 | - | (132.30) | 111,589.80 | 09/12/23 | 2.71% |
| 3135G0V34 | F N M A | Federal Agency | 225,000.00 | 224,163.00 | 238,981.50 | - | (375.75) | 238,605.75 | 02/05/24 | 2.36% |
| 3135G0X24 | F N M A | Federal Agency | 175,000.00 | 174,441.75 | 181,713.00 | - | 171.50 | 181,884.50 | 01/07/25 | 1.56% |
| 3136AEGQ4 | F N M A GTD R E M I C PASS THRU | Federal Agency | 39,544.08 | 40,062.13 | 41,781.45 | (1,182.95) | (56.72) | 40,541.78 | 12/27/22 | 2.22% |
| 3136BTXP4 | F N M A GTD R E M I C PASS THRU | Federal Agency | 6,671.57 | 6,804.27 | 12,661.53 | (5,923.21) | (42.93) | 6,695.39 | 09/25/21 | 3.55% |
| 3137B4GX8 | F H L M C MULTICLASS MTG PARTN | Federal Agency | 21,182.51 | 21,232.99 | 22,638.25 | (899.49) | (44.27) | 21,694.49 | 02/25/23 | 2.94% |
| 3137B5JL8 | F H L M C MULTICLASS MTG PARTN | Federal Agency | 23,906.74 | 23,784.40 | 25,493.11 | (1,066.18) | (43.73) | 24,383.20 | 02/25/23 | 2.62% |
| 3137BM6P6 | F H L M C MULTICLASS MTG PARTN | Federal Agency | 104,228.39 | 105,115.96 | 107,162.40 | (166.50) | (35.68) | 106,960.22 | 08/25/22 | 3.01% |
| 3137EAP0 | F H L M C | Federal Agency | 200,000.00 | 199,846.00 | 206,676.00 | - | 286.00 | 206,962.00 | 02/12/25 | 1.45% |
| 3137EAEQ8 | F H L M C | Federal Agency | 150,000.00 | 149,625.00 | 150,564.00 | - | (3.00) | 150,561.00 | 04/20/23 | 0.37% |
| 3137EAE0 | F H L M C | Federal Agency | 130,000.00 | 129,945.40 | 130,553.80 | - | (98.80) | 130,455.00 | 05/05/23 | 0.37% |
| 3137EAE54 | F H L M C M T N | Federal Agency | 180,000.00 | 179,474.40 | 180,142.20 | - | (27.00) | 180,115.20 | 06/26/23 | 0.25% |
| 3137EAE2 | F H L M C M T N | Federal Agency | 80,000.00 | 79,819.20 | 79,990.40 | - | 44.80 | 80,035.20 | 07/25/22 | 0.12% |
| 3137EAEW5 | F H L M C M T N | Federal Agency | 230,000.00 | 229,522.10 | 226,271.70 | - | 669.30 | 226,941.00 | 07/21/25 | 0.38% |
| 3137EAEW5 | F H L M C M T N | Federal Agency | 200,000.00 | 199,977.57 | 199,952.00 | - | 84.00 | 200,036.00 | 09/08/23 | 0.25% |
| 3137EAEW5 | F H L M C M T N | Federal Agency | 185,000.00 | 184,443.15 | 181,503.50 | - | 553.15 | 182,056.65 | 09/23/25 | 0.38% |
| 3137FKX3 | F H L M C MULTICLASS MTG PARTN | Federal Agency | 8,854.60 | 8,854.58 | 9,052.04 | (12.21) | (13.01) | 9,026.82 | 07/25/23 | 3.14% |
| 31680YAD9 | FIFTH THIRD AUTO TRUST | Asset-Backed | 33,000.04 | 32,992.79 | 36,969.84 | (3,436.37) | (69.45) | 33,464.02 | 12/15/23 | 2.60% |
| 36255JAD6 | GM FINANCIAL SECURITIZED TERM | Asset-Backed | 18,352.25 | 18,347.97 | 20,965.45 | (2,383.04) | (44.25) | 18,538.16 | 05/16/23 | 2.99% |
| 38141GXS8 | GOLDMAN SACHS GROUP INC | Corporate | 100,000.00 | 100,204.00 | 98,254.00 | - | 852.00 | 99,106.00 | 02/12/26 | 0.86% |
| 427866BA5 | HERSHEY COMPANY | Corporate | 60,000.00 | 59,958.60 | 60,193.80 | - | (139.20) | 60,054.60 | 05/15/21 | 3.10% |
| 437076BV3 | HOME DEPOT INC | Corporate | 70,000.00 | 69,808.20 | 71,970.50 | - | (215.60) | 71,754.90 | 03/01/22 | 3.17% |
| 4581X0DK1 | INTER AMERICAN DEVEL BK | Supranationals | 135,000.00 | 141,925.50 | 140,385.15 | - | 201.15 | 140,586.30 | 03/14/25 | 1.68% |
| 46647PBB1 | JPMORGAN CHASE CO | Corporate | 135,000.00 | 135,000.00 | 138,661.20 | - | (140.40) | 138,520.80 | 04/01/23 | 3.13% |
| 55379WZU3 | MUFG BANK LTD NY BRA | Certificate of Deposit | 130,000.00 | 130,000.00 | 133,464.20 | - | (274.30) | 133,190.20 | 02/25/22 | 2.91% |
| 61746BDQ6 | MORGAN STANLEY | Corporate | 195,000.00 | 206,413.35 | 212,910.75 | - | (72.15) | 212,838.60 | 04/29/24 | 3.55% |
| 65478NAD7 | NISSAN AUTO RECEIVABLES | Asset-Backed | 52,277.21 | 52,267.20 | 59,160.79 | (6,008.71) | (98.03) | 53,054.05 | 06/15/23 | 3.17% |
| 65558TL7 | NORDEA BK ABP NY | Certificate of Deposit | 100,000.00 | 100,000.00 | 102,451.00 | - | (127.00) | 102,324.00 | 08/26/22 | 1.81% |
| 69371RQ66 | PACCAR FINANCIAL CORP | Corporate Note | 30,000.00 | 31,353.30 | 30,672.90 | - | 227.40 | 30,900.30 | 02/06/25 | 1.75% |
| 713448EY0 | PEPSICO INC | Corporate | 30,000.00 | 29,940.60 | 30,287.40 | - | 10.50 | 30,297.90 | 05/01/23 | 0.74% |
| 713448FB9 | PEPSICO INC | Corporate | 40,000.00 | 39,977.20 | 40,133.20 | - | (34.00) | 40,099.20 | 10/07/23 | 0.40% |
| 797272QN4 | SAN DIEGO CA CMNTY CLG DIST REF SER | Municipal Bond / | 35,000.00 | 35,000.00 | 36,296.75 | - | (23.10) | 36,273.65 | 08/01/23 | 1.93% |
| 808513AW5 | CHARLES SCHWAB CORP | Corporate | 75,000.00 | 74,997.75 | 75,155.25 | - | (117.75) | 75,037.50 | 05/21/21 | 3.25% |
| 83050PDR7 | SKANDIN ENBANKEN | Certificate of Deposit | 100,000.00 | 100,000.00 | 102,474.00 | - | (127.00) | 102,347.00 | 08/26/22 | 1.82% |
| 83369XDL9 | SOCIETE GENERALE NY | Certificate of Deposit | 130,000.00 | 130,000.00 | 131,966.90 | - | (145.60) | 131,821.30 | 02/14/22 | 1.78% |
| 86565CKU2 | SUMITOMO MTSU BKG CO | Certificate of Deposit | 95,000.00 | 95,000.00 | 95,045.60 | - | 11.40 | 95,057.00 | 07/08/22 | 0.70% |
| 874857KJ3 | TAMALPAIS CA UNION HIGH SCH DIST | Municipal Bond / | 85,000.00 | 85,000.00 | 88,241.05 | - | (98.60) | 88,142.45 | 08/01/23 | 1.90% |
| 89239JAD6 | TOYOTA AUTO RECEIVABLES | Asset-Backed | 56,959.42 | 56,950.34 | 63,351.38 | (5,500.65) | (139.45) | 57,711.28 | 08/15/23 | 2.54% |
| 904764BF3 | UNILEVER CAPITAL CORP | Corporate | 100,000.00 | 99,516.00 | 102,559.00 | - | (222.00) | 102,337.00 | 03/07/22 | 2.93% |
| 91159HHX1 | US BANCORP | Corporate | 100,000.00 | 99,911.00 | 105,378.00 | - | 357.00 | 105,735.00 | 07/30/24 | 2.27% |
| 9128282W9 | U S TREASURY NOTE | U.S. Treasury Bond / | 165,000.00 | 160,340.04 | 169,299.90 | - | (206.25) | 169,093.65 | 09/30/22 | 1.83% |
| 9128282Y5 | U S TREASURY NOTE | U.S. Treasury Bond / | 210,000.00 | 214,314.84 | 221,778.90 | - | 140.70 | 221,919.60 | 09/30/24 | 2.01% |
| 9128283J7 | U S TREASURY NOTE | U.S. Treasury Bond / | 25,000.00 | 25,541.99 | 26,417.00 | - | 30.25 | 26,447.25 | 11/30/24 | 2.01% |
| 9128283V0 | U S TREASURY NOTE | U.S. Treasury Bond / | 195,000.00 | 208,422.07 | 209,045.85 | - | 235.95 | 209,281.80 | 01/31/25 | 2.33% |
| 9128284L1 | U S TREASURY NOTE | U.S. Treasury Bond / | 280,000.00 | 294,061.72 | 136,901.70 | 158,039.06 | (590.76) | 294,350.00 | 04/30/23 | 2.62% |
| 9128284R8 | U S TREASURY NOTE | U.S. Treasury Bond / | 380,000.00 | 422,764.84 | 413,827.60 | - | 766.00 | 414,587.60 | 05/31/25 | 2.64% |
| 9128285L0 | U S TREASURY NOTE | U.S. Treasury Bond / | 200,000.00 | 205,218.75 | 203,468.00 | - | (436.00) | 203,032.00 | 11/15/21 | 2.83% |
| 9128286C9 | U S TREASURY NOTE | U.S. Treasury Bond / | 110,000.00 | 112,010.94 | 112,324.30 | - | (210.10) | 112,114.20 | 02/15/22 | 2.45% |
| 912828D72 | U S TREASURY NOTE | U.S. Treasury Bond / | 270,000.00 | 270,812.11 | 272,173.50 | - | (456.30) | 271,717.20 | 08/31/21 | 1.99% |
| 912828F21 | U S TREASURY NOTE | U.S. Treasury Bond / | 200,000.00 | 204,625.00 | 202,072.00 | - | (352.00) | 201,718.00 | 09/30/21 | 2.11% |
| 912828G53 | U S TREASURY NOTE | U.S. Treasury Bond / | 200,000.00 | 203,718.75 | 202,420.00 | - | (296.00) | 202,126.00 | 11/30/21 | 1.86% |

**VENTURA REGIONAL SANITATION DISTRICT
SECURITIES SOLD OR MATURED AS OF APRIL 30, 2021**

Item # 9

| Settle Date | CUSIP | Transaction | Description | Interest Rate | Maturity Date | Par | Principal Proceeds | Purchase Cost | Realized G/L Cost this FY | Realized G/L Cost |
|-------------|-----------|---|-------------|---------------|---------------|----------------------|----------------------|----------------------|---------------------------|-------------------|
| 04/01/2021 | 13063DGA0 | MATURED PAR VALUE OF CALIFORNIA ST | | 2.800% | 4/01/21 | 140,000.00 | 140,000.00 | 140,005.60 | (5.60) | - |
| | | VENTURA REGIONAL SANITATION DISTRICT MAIN: 1077 Total | | | | \$ 140,000.00 | \$ 140,005.60 | \$ (5.60) | \$ (5.60) | \$ - |
| 04/01/2021 | 13063DGA0 | MATURED PAR VALUE OF CALIFORNIA ST | | 2.800% | 4/01/21 | 150,000.00 | 150,000.00 | 150,006.00 | (6.00) | - |
| 04/30/2021 | 912828Q78 | MATURED PAR VALUE OF U S TREASURY NT | | 1.375% | 4/30/21 | 75,000.00 | 75,000.00 | 74,859.38 | 140.62 | - |
| | | VENTURA REGIONAL SANITATION DISTRICT TOLAND: 1078 Total | | | | \$ 225,000.00 | \$ 224,865.38 | \$ 134.62 | \$ 134.62 | \$ - |
| 04/01/2021 | 13063DGA0 | MATURED PAR VALUE OF CALIFORNIA ST | | 2.800% | 4/01/21 | 50,000.00 | 50,000.00 | 50,002.00 | (2.00) | - |
| 04/01/2021 | 9128284G2 | SOLD PAR VALUE OF U S TREASURY NT | | 2.375% | 4/15/21 | 120,107.81 | 120,107.81 | 121,195.31 | (1,087.50) | 68.16 |
| | | VENTURA REGIONAL SANITATION DISTRICT COASTAL: 1079 Total | | | | \$ 170,107.81 | \$ 171,197.31 | \$ (1,089.50) | \$ (1,089.50) | \$ 68.16 |
| 04/01/2021 | 13063DGA0 | MATURED PAR VALUE OF CALIFORNIA ST | | 2.800% | 4/01/21 | 70,000.00 | 70,000.00 | 70,002.80 | (2.80) | - |
| 04/30/2021 | 912828Q78 | MATURED PAR VALUE OF U S TREASURY NT | | 1.375% | 4/30/21 | 100,000.00 | 100,000.00 | 96,951.57 | 3,048.43 | - |
| | | VENTURA REGIONAL SANITATION DISTRICT BAILARD: 1080 Total | | | | \$ 170,000.00 | \$ 166,954.37 | \$ 3,045.63 | \$ 3,045.63 | \$ - |
| | | Grand Total | | | | \$ 705,000.00 | \$ 705,107.81 | \$ 703,022.66 | \$ 2,085.15 | \$ 68.16 |

Notes: Securities Sold and Matured are provided by PFM Asset Management & US Bank.